

# IAPD Report JESSE BLOM CRD# 5483507

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Please be aware that fraudsters may link to Investment Adviser Public Disclosure from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our investor alert on imposters.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

#### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

#### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

#### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <a href="http://www.nasaa.org/IAPD/IARReports.cfm">http://www.nasaa.org/IAPD/IARReports.cfm</a>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

#### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <a href="http://www.nasaa.org">http://www.nasaa.org</a>



## **Report Summary**

## ■ JESSE BLOM (CRD# 5483507)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/30/2021**.

### **CURRENT EMPLOYERS**

	Firm	CRD#	Registered Since
IA	LORINTINE CAPITAL	CRD# 151204	11/13/2013

## **QUALIFICATIONS**

This representative is currently registered in **0** SRO(s) and **6** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? No

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

## REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	EAGLE STRATEGIES LLC	110826	SIOUX FALLS, SD	07/26/2010 - 11/06/2013
В	NYLIFE SECURITIES LLC	5167	SIOUX FALLS, SD	05/05/2008 - 11/06/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

## DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



## Qualifications

## **REGISTRATIONS**

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **6** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

## Employment 1 of 1

Firm Name:	LORINTINE CAPITAL	
Main Address:	4925 GREENVILLE AVE SUITE 200 DALLAS, TX 75206	
Firm ID#:	151204	

	Regulator	Registration	Status	Date
IA	Arizona	Investment Adviser Representative	Approved	10/24/2014
IA	California	Investment Adviser Representative	Approved	03/25/2020
IA	Iowa	Investment Adviser Representative	Approved	11/21/2013
IA	Minnesota	Investment Adviser Representative	Approved	12/16/2014
IA	South Dakota	Investment Adviser Representative	Approved	11/25/2013
IA	Texas	Investment Adviser Representative	Restricted Approval	11/13/2013

### **Branch Office Locations**

LORINTINE CAPITAL 101 S. Reid St. Suite 307 Sioux Falls, SD 57103



## Qualifications

## PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

## **Principal/Supervisory Exams**

Exam	Category	Date
No information reported.		

### **General Industry/Product Exams**

	Exam	Category	Date	
В	General Securities Representative Examination (S7)	Series 7	06/09/2011	
В	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/02/2008	
State Securities Law Exams				
	Exam	Category	Date	
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	07/14/2010	
В	Uniform Securities Agent State Law Examination (S63)	Series 63	06/04/2008	

## PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

#### **Certified Financial Planner**

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org



## **Registration & Employment History**

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/26/2010 - 11/06/2013	EAGLE STRATEGIES LLC	CRD# 110826	SIOUX FALLS, SD
В	05/05/2008 - 11/06/2013	NYLIFE SECURITIES LLC	CRD# 5167	SIOUX FALLS, SD

### **EMPLOYMENT HISTORY**

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2013 - Present	LORINTINE CAPITAL	INVESTMENT ADVISOR/BRANCH MANAGER	Y	SIOUX FALLS, SD, United States
07/2010 - 11/2013	EAGLE STRATEGIES LLC	INVESTMENT ADVISOR	Y	SIOUX FALLS, SD, United States
04/2008 - 11/2013	NYLIFE SECURITIES LLC	REGISTERED REP.	Y	SIOUX FALLS, SD, United States
03/2008 - 11/2013	NEW YORK LIFE INSURANCE	AGENT	Ν	SIOUX FALLS, SD, United States
08/2006 - 11/2013	ORAL ROBERTS UNIVERSITY	STUDENT	Ν	TULSA, OK, United States

## OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Mr. Jesse Blom is engaged in the sale of certain insurance products through third parties and is licensed appropriately. Mr. Blom does not have a title for this business and as a sole proprietor does not have a relationship with third party entities. He devotes less than one hour per month to this line of business and it is not related to his investment advisory business. He spends less than one hour per month during trading hours selling insurance.

Mr. Blom aslo contributes to the website Http://www.steadyoptions.com. He has been writing for this website since late 2013 and spends approximately 20 hours per month writing for the website. Little if any time is spent writing for the website during trading hours. Mr. Blom does not own, operate, or manage the website, which is based in Toronto, Canada.



# End of Report

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